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ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. RADE **Application Number** 10/772.840 TRANSMITTAL Filing Date 02-04-2004 **FORM** First Named Inventor Hogendijk Art Unit 3731 **Examiner Name** BUI, VY Q (to be used for all correspondence after initial filing) **Attorney Docket Number** NVS-1050 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Return Receipt Postcard Request for Refund **Express Abandonment Request** Copy of Foreign publication CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Luce, Forward, Hamilton & Scripps LLP Signature Printed name Nicola A. Pisano Date Reg. No. 34, 408 2008 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date

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4.15.2005

Atty. Docket No.: NVS-1050

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicat	ion of:	)
	Michael Hogendijk	)
Serial No.:		)
	10/772,840	)
Filing Date:		)
	February 4, 2004	)
		)
For:	RIBBON-TYPE VASCULAR	)
	PROSTHESIS HAVING STRESS-	)
	RELIEVING ARTICULATION	)
	AND METHODS OF USE	

San Diego, California

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (b)

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, Applicant makes the documents, listed on the enclosed form PTO/SB/08, of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and

(2) printed on any patent which may issue on this application. It is also respectfully

requested that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be

returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

Copies of the U.S. patents listed on the enclosed form PTO/SB/08a are not

enclosed, in accordance with the waiver of the requirement of 37 C.F.R. § 1.98(a)(2)(i) for

submitting a copy of each cited U.S. patent and U.S. patent application publication for all

U.S. national patent applications filed after June 30, 2003 that appears in the Official Gazette

Notice (Week No. 31) dated August 5, 2003 and entitled "Information Disclosure Statements

May Be Filed Without Copies of U.S. Patents and Published Applications in Patent

Applications Filed After June 30, 2003" and MPEP 609 III. A(2). A copy of the foreign

patent publication is enclosed herewith.

This Information Disclosure Statement is filed before the mailing of a first Office

Action, pursuant to 37 C.F.R. 1.97(b). Therefore, it is believed that no fee is required in

connection with this submission. In the event that a fee is required, please charge our Deposit

Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

Date / 15, 2005

Nicola A. Pisano

Attorney for Applicant(s)

Reg. No. 34,408

c/o

LUCE, FORWARD, HAMILTON

& SCRIPPS LLP

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 3

Complete if Known				
Application Number	10/772,840			
Filing Date	02-04-2004			
First Named Inventor	Michael Hogendijk			
Art Unit	3731			
Examiner Name	BUI, VY Q			
Attorney Docket Number	NVS-1050			

Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (F known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 4,553,545	11-19-1985	Maass et al.	
		<sup>US-</sup> 4,655,771	04-07-1987	Wallsten	
		<sup>US-</sup> 4,665,918	05-19-1987	Garza et al.	
		<sup>US-</sup> 4,739,762	04-26-1988	Palmaz	
		US- 4,768,507	09-06-1988	Fischell et al.	
		<sup>US-</sup> 4,886,062	12-12-1989	Wiktor	
		<sup>US-</sup> 5,019,090	05-28-1991	Pinchuk	
		<sup>US-</sup> 5,147,370	09-15-1992	McNamara et al.	
		<sup>US-</sup> 5,246,445	09-21-1993	Yachia et al.	
		<sup>US-</sup> 5,314,444	05-24-1994	Gianturco	
		<sup>US-</sup> 5,344,426	09-06-1994	Lau et al.	
		<sup>US-</sup> 5,421,955	06-06-1995	Lau et al.	
		<sup>US-</sup> 5,423,885	06-13-1995	Williams	
		<sup>US-</sup> 5,441,515	08-15-1995	Khosravi et al.	
		US- 5,443,500	08-22-1995	Sigwart	
		<sup>US-</sup> 5,476,505	12-19-1995	Limon	
·		<sup>US-</sup> 5,540,713	07-30-1996	Schnepp-Pesch et al.	
		<sup>US-</sup> 5,551,954	09-03-1996	Buscemi et al.	
		<sup>US-</sup> 5,556,413	09-17-1996	Lam	

•		FORE	IGN PATENT DOCL	JMENTS		
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
		WO0062711	10-26-2000	Smart Therapeutics, Inc.		
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Examiner	Date	•
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup>Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known			
Application Number	10/772,840		
Filing Date	02-04-2004	•	
First Named Inventor	Michael Hogendijk		
Art Unit	3731		
Examiner Name	BUI, VY Q		
Attorney Docket Number	NVS-1050		

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	<u> </u>	Number-Kind Code <sup>2</sup> (# known)			Figures Appear
		<sup>US-</sup> 5,607,478	03-04-1997	Lentz et al.	
		<sup>US-</sup> 5,824,052	10-20-1998	Khosravi et al.	
		<sup>US-</sup> 5,824,053	10-20-1998	Khosravi et al.	
		<sup>US-</sup> 6,156,062	12-05-2000	McGuinness	
		<sup>US-</sup> 6,238,430	05-29-2001	Klumb et al.	
		US- 6,248,122	06-19-2001	Klumb et al.	
		<sup>US-</sup> 6,423,091	07-23-2002	Hojeibane	
		<sup>US-</sup> 6,425,915	07-30-2002	Khosravi et al.	
		<sup>US-</sup> 6,432,128	08-13-2002	Wallace et al.	
		<sup>US-</sup> 6,503,270	01-07-2003	Richter et al.	
		<sup>US-</sup> 6,533,805	03-18-2003	Jervis	
		<sup>US-</sup> 6,572,643	06-03-2003	Gharibadeh	
		<sup>US-</sup> 6,576,006	06-10-2003	Limon et al.	
		US- 6,645,237	11-11-2003	Klumb et al.	
		US- 6,660,032	12-09-2003	Klumb et al.	
-		<sup>US-</sup> 6,736,844	05-18-2004	Glatt et al.	
		<sup>US-</sup> 6,746,475	06-08-2004	Rivelli, Jr.	
		<sup>US-</sup> 20020004676	01-10-2002	Wallace, George et al.	
		<sup>US-</sup> 20020095206	07-18-2002	Addonizio, Scott J. et al.	

		FOREI	<b>GN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>6</sup>

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Signature		Considered	

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Examiner Name	BUI, VY Q
Attorney Docket Number	NVS-1050

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 20040034402	02-19-2004	Bales, Thomas et al.	
		<sup>US-</sup> 20040044401	03-04-2004	Bales, Thomas et al.	***************************************
		US- 20040172123	09-02-2004	Lootz et al.	
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		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sub>e</sub>
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